

TRADING INQUIRY FORM for MELBOURNE S.R.O.

Instructions:

Please fill out the following form and return to MELBOURNE S.R.O. via email to Trade@MelbourneSRO.com . Best if done by hand, with neat block letters.

Statement of Purpose:

- This form is for companies offering services for trading to MELBOURNE S.R.O., a limited company in Prague, Czechia (hereafter, "MELBOURNE"). MELBOURNE is a privately owned company, by a single individual, or Ultimate-Beneficial-Owner ("UBO").
- This form is a vetting questionnaire, intended to be used by the owner to determine the services offered to be suitable.
- This form *also* serves as a collection request for other information such as standard settlement instructions, margin deposit banking instructions, trading software downloading instructions, and similar information.

---Section (I)---

Your organization's name in full (Hereafter known as "<u>SERVICE PROVIDER</u>")	
<u>SERVICE PROVIDER'S</u> Company identification number & Company Tax/VAT number	# #
Contact person (author of this form, the company representative,, etc., hereafter known as " <u>REPRESENTATIVE</u> ".) <u>DEPARTMENT HEAD</u> (Immediate superior of the above <u>REPRESENTATIVE</u>)	
BEST contact info of <u>REPRESENTATIVE</u> <u>DEPARTMENT HEAD</u>	Tel: Email: Tel: Email:

<u>SERVICE PROVIDER'S</u> trading/sales desk addresss	
<u>SERVICE PROVIDER'S</u> Company identification number & Company Tax/VAT number	ID# VAT#
<u>SERVICE PROVIDER'S</u> head office address ('registered address')	

---Section (II)---

National regulator authority of <u>SERVICE PROVIDER</u> (Eg., Swiss FINMA.CH; UK FCA.ORG.UK; Lichtenstein-FINANCE.LI, etc.)	
--	--

---Section (III)---

Products offered (FX, CFD, Cryptocurrencies, Futures, IPOs, etc. *Web address or written description of products will be suitable)	
---	--

---Section (IV)---

	<p>List of potential attachments to send with this form:</p> <p><input type="checkbox"/> STANDARD SETTLEMENT INSTRUCTIONS Please attach separate page on your letterhead. <i><u>Important - these instructions should contain:</u></i></p> <ul style="list-style-type: none"> - Banking instructions for deposits - Delivery points for any physical, dematerialized securities in lieu of margin, or cryptocurrency delivery details. - Contact person for settlement inquiries (other than representative mentioned above) <ul style="list-style-type: none"> - Name - Phone - Email
--	---

	<ul style="list-style-type: none"> <input type="checkbox"/> CLIENT AGREEMENT FORMS, OR ACCOUNT SIGNUP FORMS <input type="checkbox"/> IDENTITY REQUIREMENTS (COMPANY REGISTRATION, HOME UTILITY BILLS, PASSPORT/PERMANENT RESIDENCY DOCUMENTS, ETC.) <input type="checkbox"/> PROFESSIONAL CLIENT QUALIFICATIONS STATEMENT AND REQUIREMENTS
--	---

---Section (V)---

<p>Other statement of selling points, advantages of your organization. *Your CANDOR is valued. Keep the sales pitch to a minimum.</p>	
---	--

---Section (VI)---

<p>About the owner of <u>MELBOURNE</u></p>	<p>The owner of <u>MELBOURNE</u> is a professional trader with over 30 years of experience in FX, commodity and financial futures, emerging market equities, and initial public offerings, as well as distressed debt and other OTC market offerings, having resided in the past, in a number of global locations.</p> <p>While not claiming to be an expert of the systems utilized by the <u>SERVICE PROVIDER</u> it should be expected that the owner of <u>MELBOURNE</u> has witnessed many types of professional misdeeds, professional insincerity, and general exaggeration and overconfidence of market professionals.</p> <p>The endeavors undertaken by the owner of <u>MELBOURNE</u>, as regards the services offered by the <u>REPRESENTATIVE</u> through the <u>SERVICE PROVIDER</u>, are intended for exchange or platform trading systems where all services, account statements, communication/correspondence will be provided with expert care and diligence. The owner will “suffer no fools”.</p> <p>All communication should be recorded and copies of recordings should be provided on request to <u>MELBOURNE</u>. This means any phone recordings, text messages, internet chats, and/or emails. <u>MELBOURNE</u> reserves the right to use these recordings in case of settlement dispute or order execution disagreement.</p>
--	--

Closing request:

The owner of MELBOURNE asks for the signature of the REPRESENTATIVE or DEPARTMENT HEAD in response to the information/statement in Section (VI) as an indication that he or she is competent to understand the information provided as a sign of good faith. Note: The owner of MELBOURNE understands that this form is not a legally binding document, but may be utilized for evidentiary purposes.

Name: + _____

Role: + _____

Signature: + _____

Reminder: Please email this form and any attachments to

- Trade@MelbourneSRO.com
- with subject “TRADING INQUIRY FORM”

FORM 3	MELBOURNE S.R.O., PRAGUE, CZECH REPUBLIC	
--------	--	--